

State: District of Columbia **Filing Company:** Great American Insurance Company
TOI/Sub-TOI: 23.0 Fidelity/23.0000 Fidelity
Product Name: ICB Fraudulent Transfer Instructions
Project Name/Number: ICB Fraudulent Transfer Instructions/DC161570100016

Filing at a Glance

Company: Great American Insurance Company
Product Name: ICB Fraudulent Transfer Instructions
State: District of Columbia
TOI: 23.0 Fidelity
Sub-TOI: 23.0000 Fidelity
Filing Type: Rule
Date Submitted: 11/22/2016
SERFF Tr Num: GACX-G130809044
SERFF Status: Submitted to State
State Tr Num:
State Status:
Co Tr Num: DC161570100016

Effective Date: 01/21/2017
Requested (New):
Effective Date: 01/21/2017
Requested (Renewal):
Author(s): SPI GAICSPI
Reviewer(s):
Disposition Date:
Disposition Status:
Effective Date (New):
Effective Date (Renewal):

State: District of Columbia **Filing Company:** Great American Insurance Company
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General Information

Project Name: ICB Fraudulent Transfer Instructions Status of Filing in Domicile: Pending
 Project Number: DC161570100016 Domicile Status Comments:
 Reference Organization: Reference Number:
 Reference Title: Advisory Org. Circular:
 Filing Status Changed: 11/22/2016
 State Status Changed: Deemer Date:
 Created By: SPI GAICSPI Submitted By: SPI GAICSPI
 Corresponding Filing Tracking Number: DC161570100017

Filing Description:

With this filing we are introducing a new insuring agreement to be used with our Finical Institution Investment Company Bond. The new optional insuring agreement will provide coverage for Fraudulent Transfers when the Insured having, in good faith, transferred Money on deposit in a Customer's account, or a Customer's Certificated Securities, in reliance upon a fraudulent Instruction transmitted to the Insured. Additionally, we are revising our Investment Company Bond Declarations page to include the new insuring agreement.

The following bond and declaration are included in this filing:
 FI 75 10 (Ed. 11/16) - INVESTMENT COMPANY BOND DECLARATIONS
 FI 75 16 (Ed. 11/16) - (N) FRAUDULENT TRANSFER INSTRUCTIONS

Along with the above bond and declaration we are also submitting a corresponding rule which will explain how the Fraudulent Transfer Instruction premium is derived.

Company and Contact

Filing Contact Information

Katelyn Harrell, State Filer kharrell@gaig.com
 49 East 4th Street 513-579-6362 [Phone]
 Cincinnati, OH 45202 513-333-6996 [FAX]

Filing Company Information

| | | |
|----------------------------------|--|-------------------------|
| Great American Insurance Company | CoCode: 16691 | State of Domicile: Ohio |
| 301 E. 4th Street | Group Code: 84 | Company Type: |
| Cincinnati, OH 45202 | Group Name: Great American Insurance Group | State ID Number: |
| (513) 369-5000 ext. [Phone] | FEIN Number: 31-0501234 | |

Filing Fees

Fee Required? No
 Retaliatory? No
 Fee Explanation:

SERFF Tracking #:

GACX-G130809044

State Tracking #:

Company Tracking #:

DC161570100016

State:

District of Columbia

Filing Company:

Great American Insurance Company

TOI/Sub-TOI:

23.0 Fidelity/23.0000 Fidelity

Product Name:

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ICB Fraudulent Transfer Instructions/DC161570100016

Rate Information

Rate data does NOT apply to filing.

SERFF Tracking #:

GACX-G130809044

State Tracking #:**Company Tracking #:**

DC161570100016

State:

District of Columbia

Filing Company:

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Rate/Rule Schedule

| Item No. | Schedule Item Status | Exhibit Name | Rule # or Page # | Rate Action | Previous State Filing Number | Attachments |
|----------|----------------------|---|------------------|-------------|------------------------------|-----------------|
| 1 | | STOCKBROKERS AND INVESTMENT BANKERS - INVESTMENT COMPNAY BOND | FI - DC | New | | DC, ICB _25.PDF |

FIDELITY AND FORGERY
Section2. Financial Institutions
STOCKBROKERS AND INVESMENT BANKERS – INVESTMENT COMPNAY BOND

The Fraudulent Transfer Instruction premium is derived by taking the sum of the basic company premium developed for Investment Company Bond and multiplying it by a factor of .25.

Great American Insurance Company

FI - DC

FI – ICB

11/16

State: District of Columbia

Filing Company:

Great American Insurance Company

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Supporting Document Schedules

| | |
|-------------------------|--------------------------|
| Bypassed - Item: | Consulting Authorization |
| Bypass Reason: | NA |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

| | |
|-------------------------|-------------------------------|
| Bypassed - Item: | Actuarial Certification (P&C) |
| Bypass Reason: | NA |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

| | |
|-------------------------|--|
| Bypassed - Item: | District of Columbia and Countrywide Experience for the Last 5 Years (P&C) |
| Bypass Reason: | NA |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

| | |
|-------------------------|--|
| Bypassed - Item: | District of Columbia and Countrywide Loss Ratio Analysis (P&C) |
| Bypass Reason: | NA |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

| | |
|--------------------------|------------------------|
| Satisfied - Item: | Explanatory Memorandum |
| Comments: | |
| Attachment(s): | Explanatory Memo.PDF |
| Item Status: | |
| Status Date: | |

Explanatory Memorandum

With this filing we are introducing a new insuring agreement to be used with our Finical Institution Investment Company Bond. The new optional insuring agreement will provide coverage for Fraudulent Transfers when the Insured having, in good faith, transferred Money on deposit in a Customer's account, or a Customer's Certificated Securities, in reliance upon a fraudulent Instruction transmitted to the Insured.

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